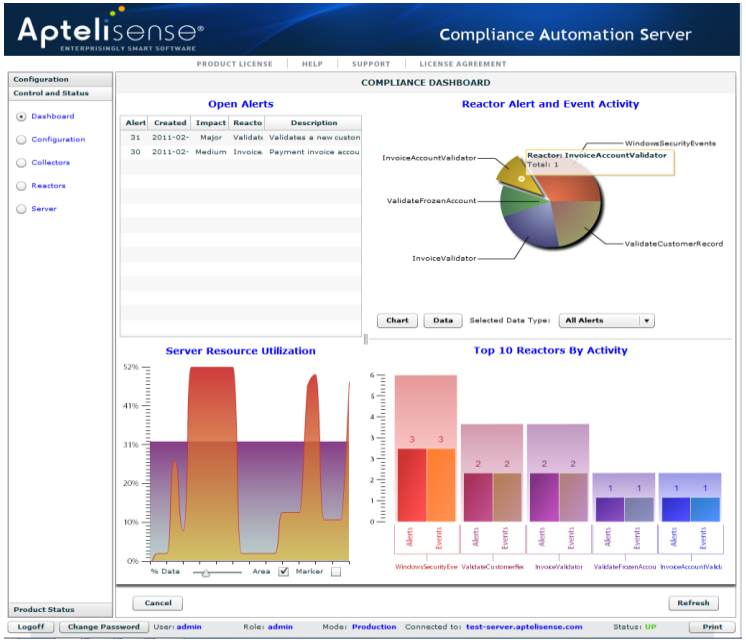
Aptelisense

Expose fraud in live data instantly without adding risk



What Compliance Automation Server can do for you

Compliance Automation Server (CAS)

- CAS is an expert Windows Server application that enables organizations to monitor and enforce policy thereby ensuring everyone transacting on their systems, whether internal or external, follows and obeys the rules.
- CAS monitors and validates live data in near real time against business rules autonomously 24/7/365. CAS can be configured to alert suspicious transactions or take action.

The flexibility of CAS

CAS is data-source, application and industry agnostic. Typical applications:

- Fraud protection across employee, mortgage, insurance policy, procurement, payments
- Anti-money laundering: live customer data validation with your partners
- Process automation, data validation and cost/time reduction across insurance claims, payments, cash management, transaction validation, contracts
- Security: validation of Windows events against change control or policy
- Audit: Internal automation

Typical industries:

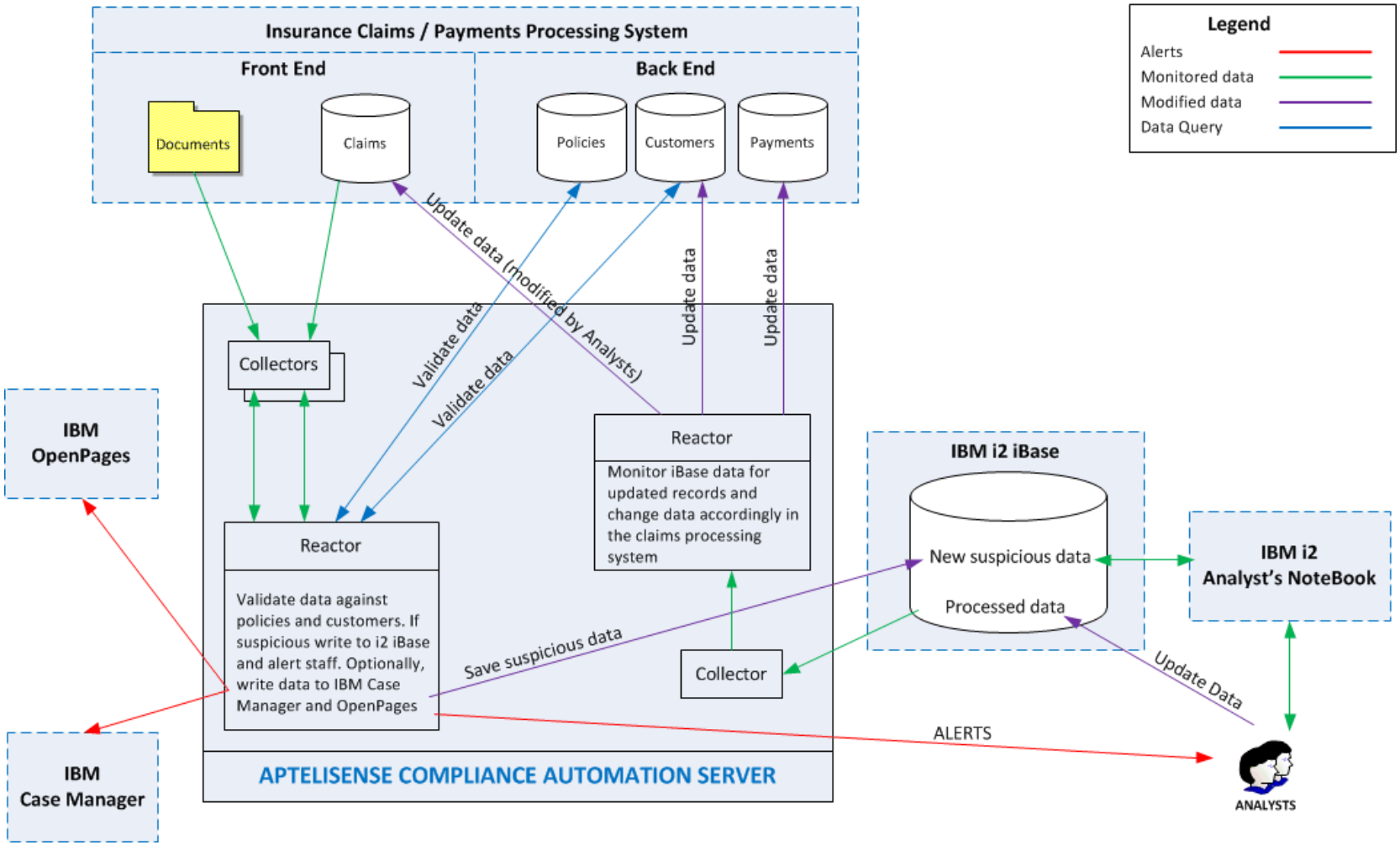
- Banking / finance
- Insurance
- Government
- Taxation authorities
- Energy
- Telecommunications
- Manufacturing
- Defense

CAS overview

- The capabilities of CAS include:
  - near real-time read-only monitoring of live data sources
  - dynamic data value testing inline or against live data sources
  - alerting on simple or complex user defined rules when attention is required
  - taking automatic action for defined events
  - preventing collusion and unauthorized configuration changes
  - point and click operation with graphical reporting
  - fully encrypted and tamper proof
  - can be switched in to fully reactive mode (dynamic data updating)
- CAS is simple to use, does not require programming, requires zero system or application changes, is maintenance free and has a small foot print.

Using CAS with IBM i2 Products

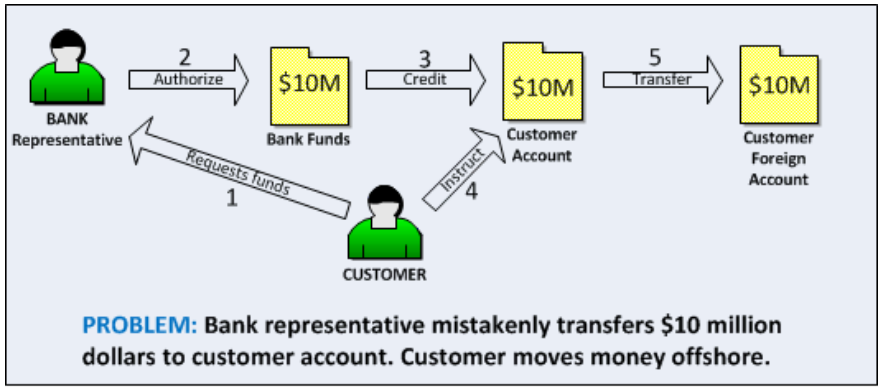
Using Aptelisense Compliance Automation Server to Integrate IBM i2 Products into Core Customer Processes

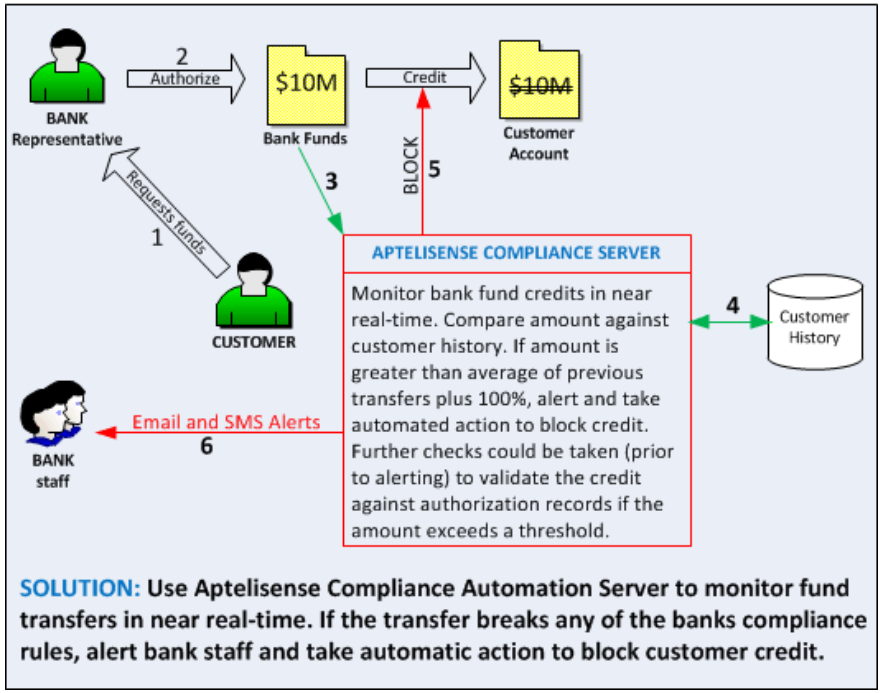


Transaction monitoring with CAS

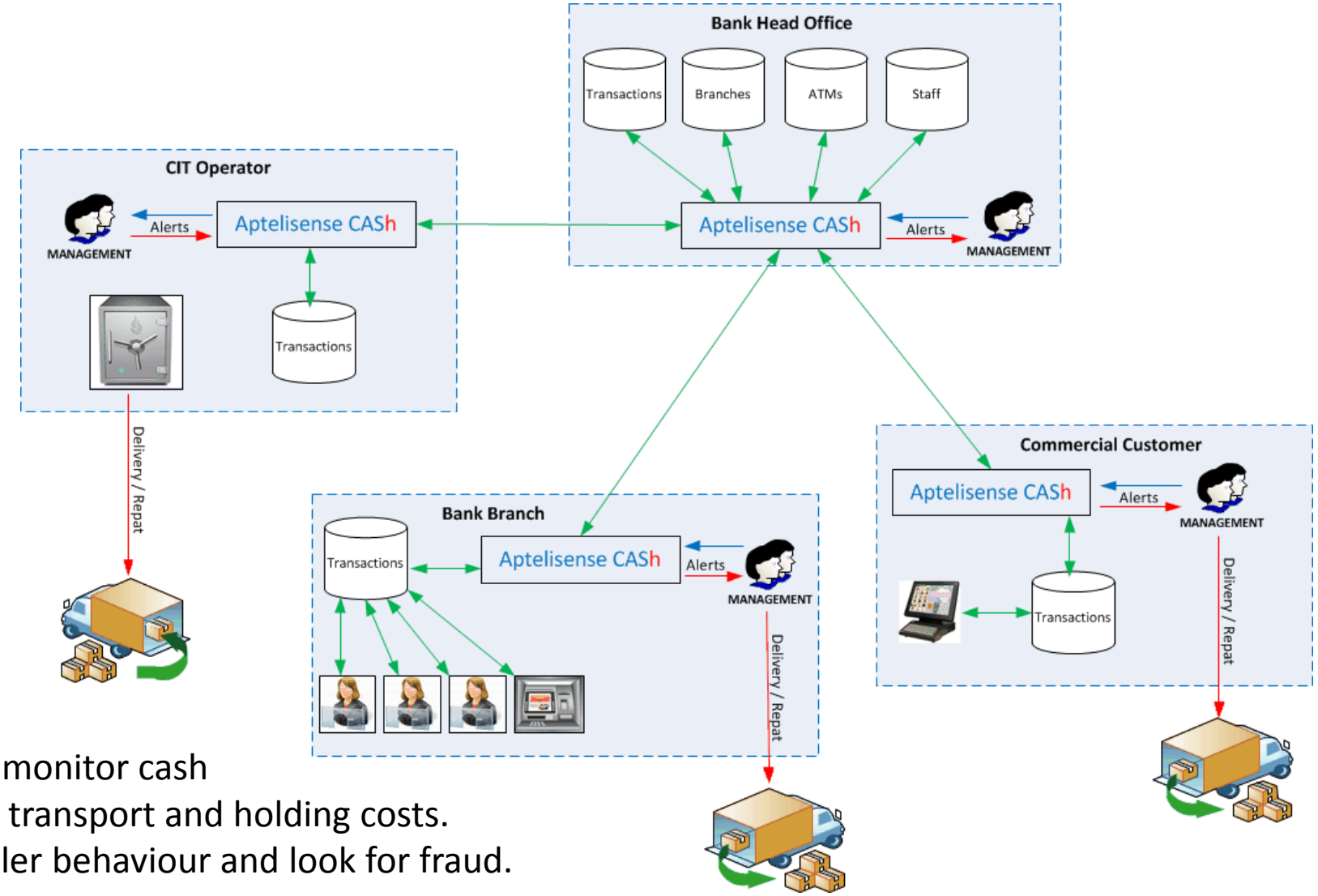
Use CAS to enforce your policy for data validation and transaction control.

Ensure that costly mistakes or fraud do not expose your organization.



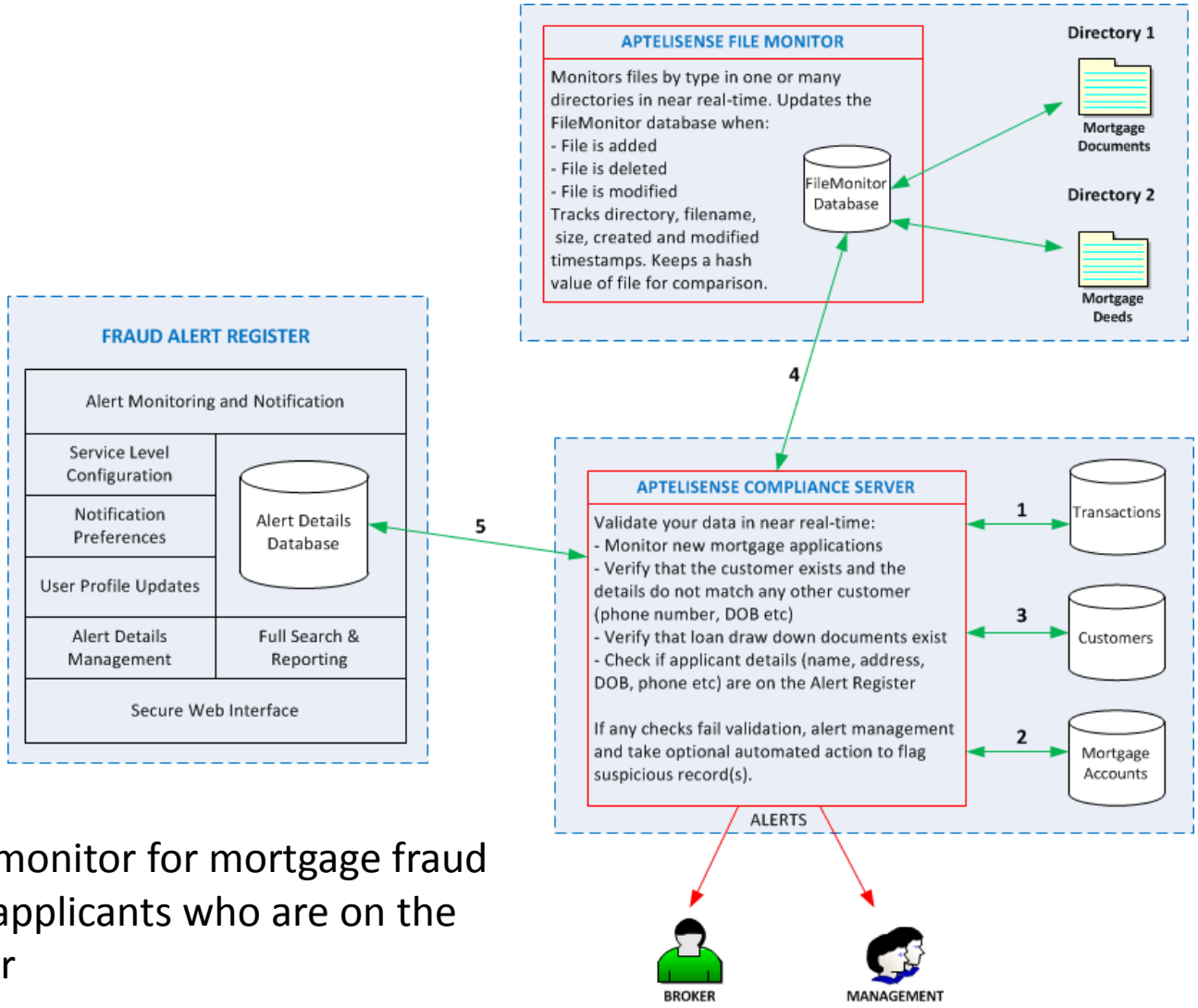


Cash management with CAS



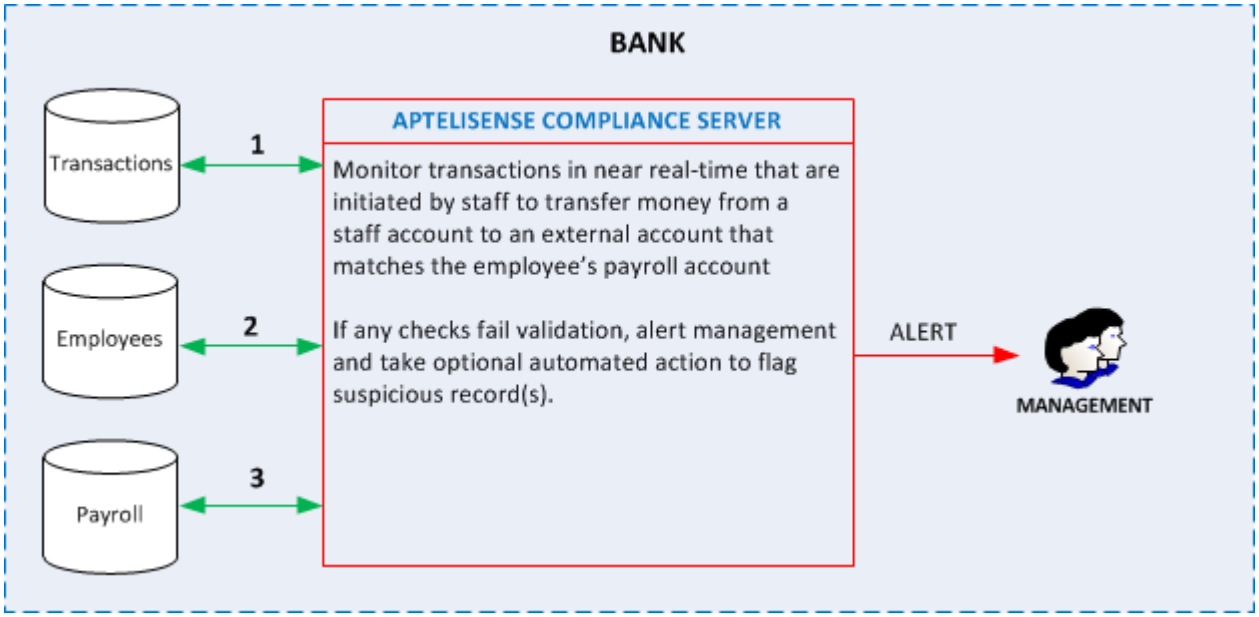
Use CAS to monitor cash
and reduce transport and holding costs.
Monitor teller behaviour and look for fraud.

Mortgage fraud with CAS



Use CAS to monitor for mortgage fraud and detect applicants who are on the alert register

Internal fraud with CAS

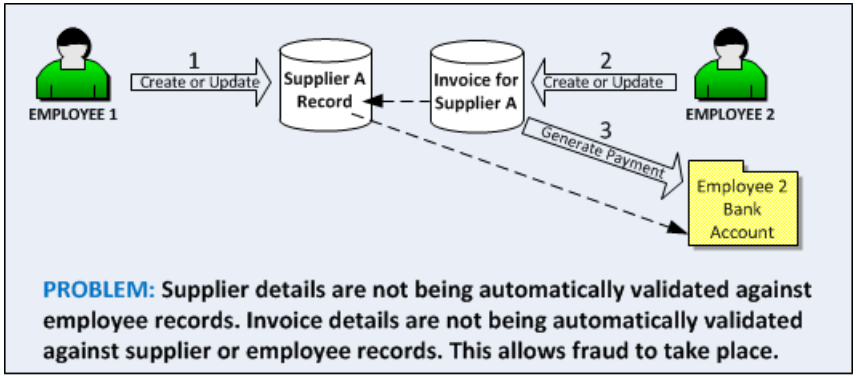


Use CAS to monitor for staff initiated transactions that move money to an external account that matches the employee payroll account

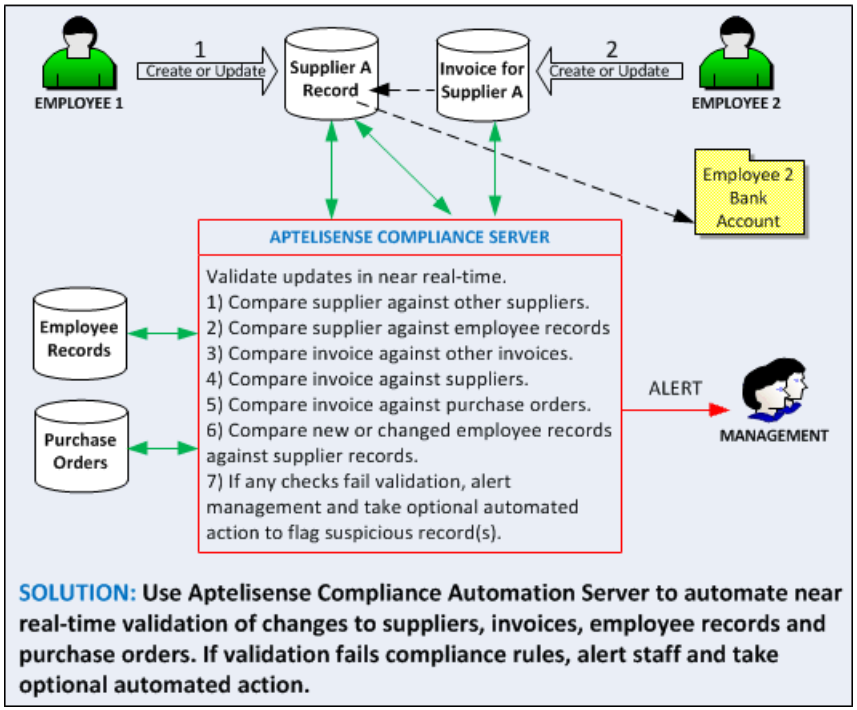
Procurement fraud with CAS

Use CAS to monitor, validate and control complicated procurement processes.

Ensure that costly mistakes or fraud do not expose your organization.



PROBLEM: Supplier details are not being automatically validated against employee records. Invoice details are not being automatically validated against supplier or employee records. This allows fraud to take place.

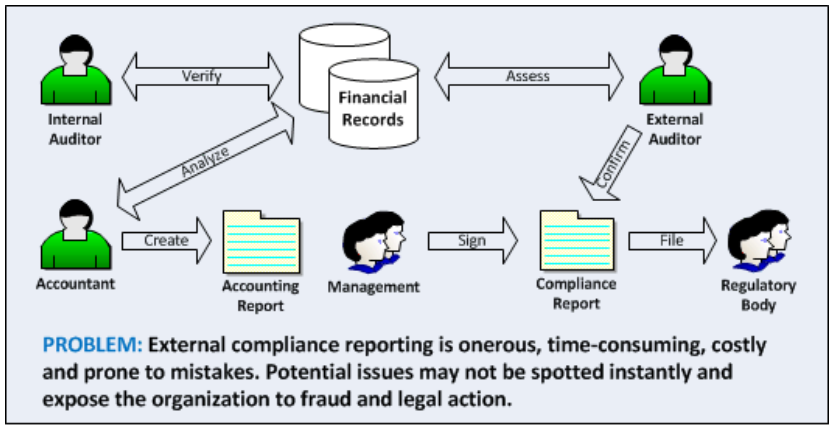


SOLUTION: Use Aptelisense Compliance Automation Server to automate near real-time validation of changes to suppliers, invoices, employee records and purchase orders. If validation fails compliance rules, alert staff and take optional automated action.

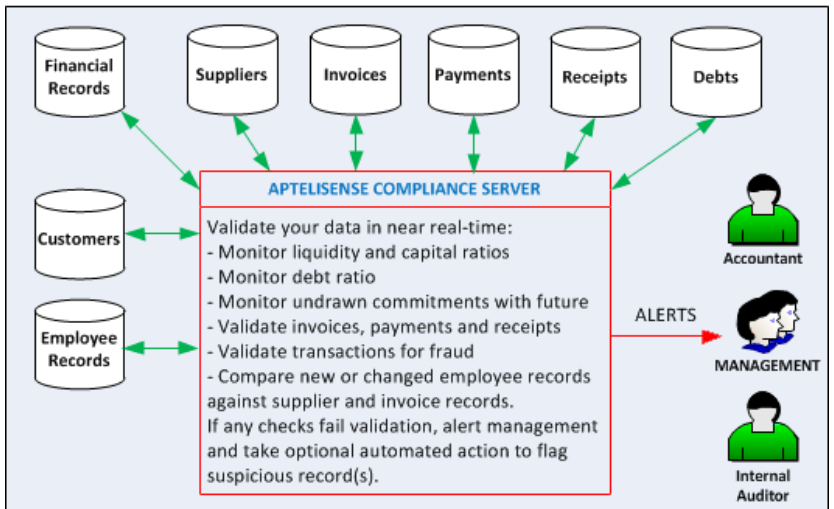
Financial compliance with CAS

Use CAS to automate your organization's financial compliance commitments.

CAS provides full monitoring and enforcement rather than just pretty Sarbanes-Oxley compliance reports!



PROBLEM: External compliance reporting is onerous, time-consuming, costly and prone to mistakes. Potential issues may not be spotted instantly and expose the organization to fraud and legal action.

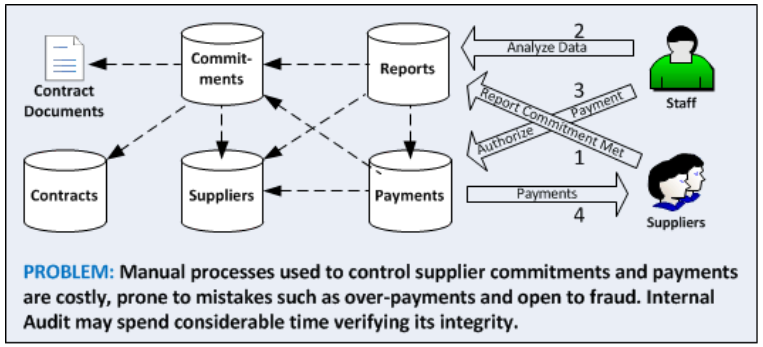


SOLUTION: Use Aptelisense Compliance Automation Server to automate near real-time monitoring of vital financial indicators. Automate Internal Audit manual functions. Validate changes to suppliers, invoices, employee records and payments. If validation fails compliance rules, alert staff and take optional automated action.

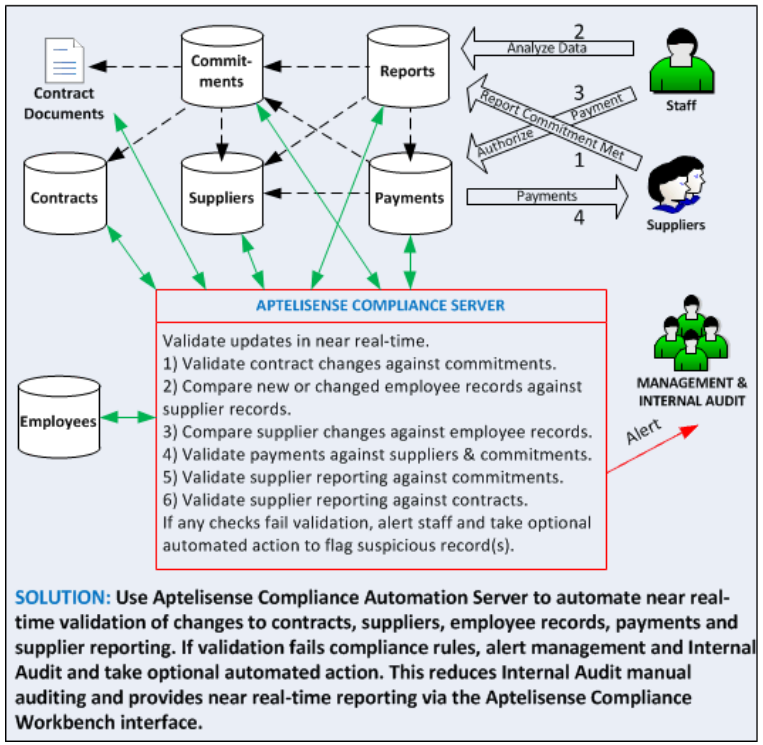
Contract management with CAS

Use CAS to automate live enforcement of your organizations contract management process.

Reduce the risk of fraud and ensure consistency of outcomes.



PROBLEM: Manual processes used to control supplier commitments and payments are costly, prone to mistakes such as over-payments and open to fraud. Internal Audit may spend considerable time verifying its integrity.

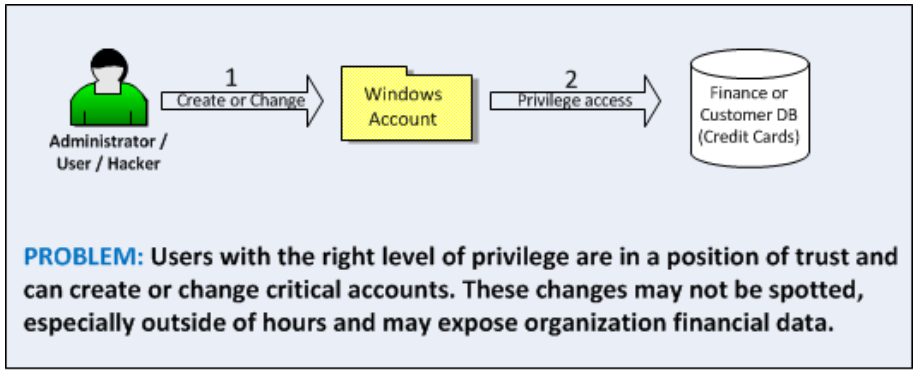


SOLUTION: Use Aptelisense Compliance Automation Server to automate near real-time validation of changes to contracts, suppliers, employee records, payments and supplier reporting. If validation fails compliance rules, alert management and Internal Audit and take optional automated action. This reduces Internal Audit manual auditing and provides near real-time reporting via the Aptelisense Compliance Workbench interface.

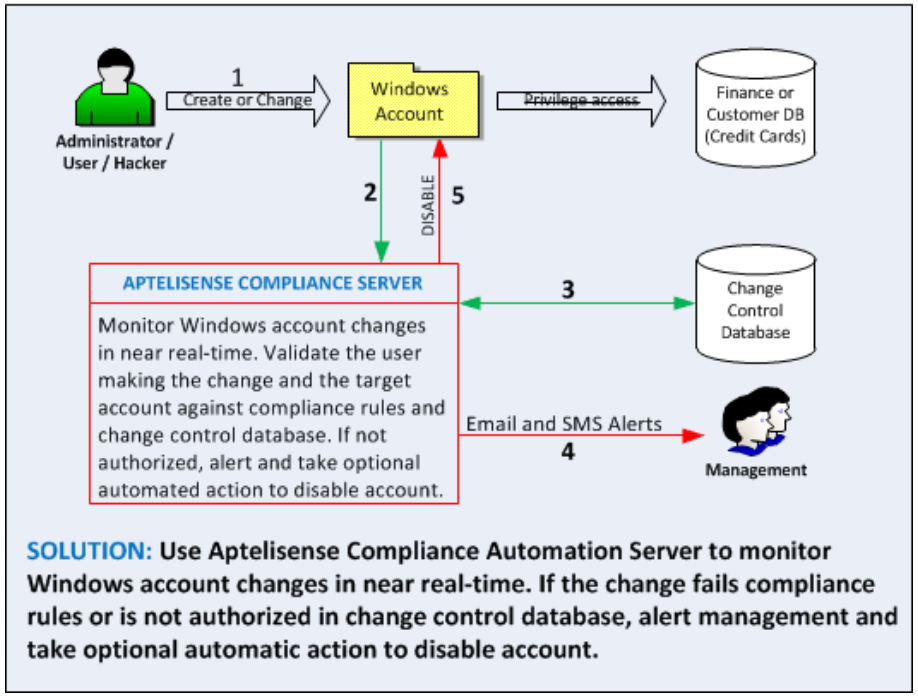
Security with CAS

Use CAS to stop rogue administrators or staff from exposing your organization to fraud and embarrassment.

CAS can monitor and validate your Windows events within minutes.

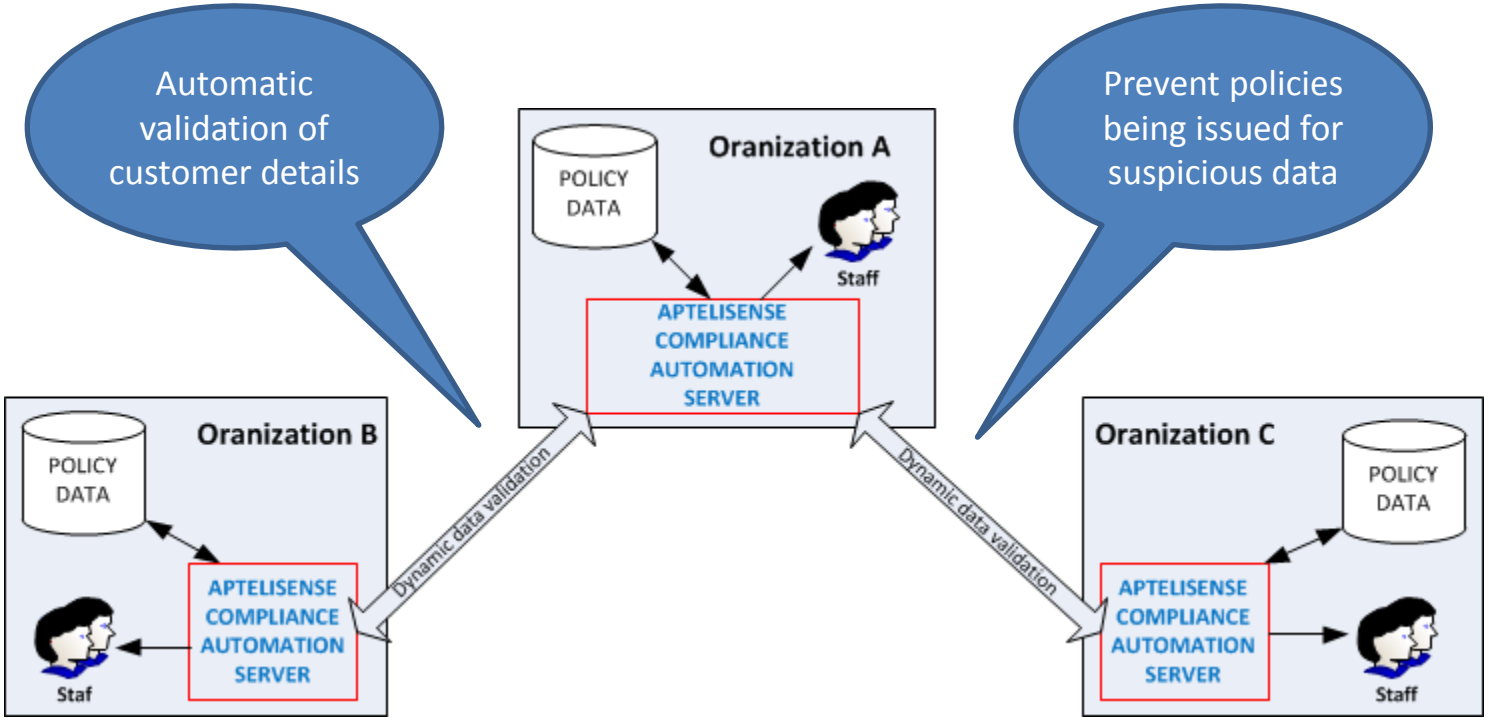


PROBLEM: Users with the right level of privilege are in a position of trust and can create or change critical accounts. These changes may not be spotted, especially outside of hours and may expose organization financial data.



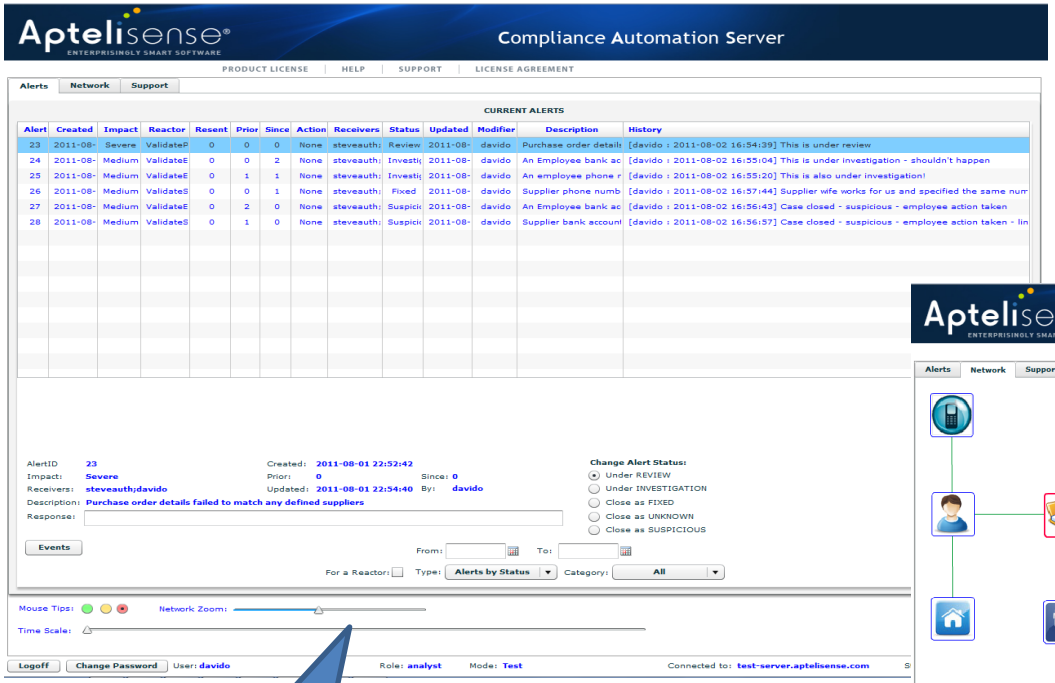
SOLUTION: Use Aptelisense Compliance Automation Server to monitor Windows account changes in near real-time. If the change fails compliance rules or is not authorized in change control database, alert management and take optional automatic action to disable account.

Organization to organization

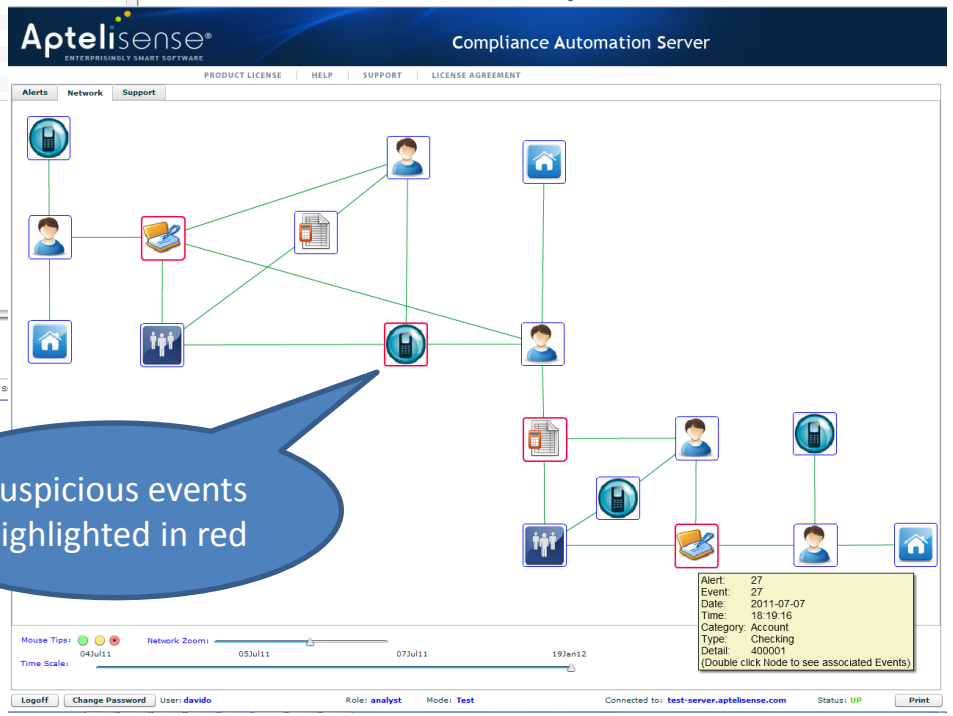


CAS can be securely networked together using CAS to CAS dynamic queries (one CAS can pass data at any point to another CAS for further testing and receive the result). These queries can be chained through multiple CAS instances.

Alert response and analysis



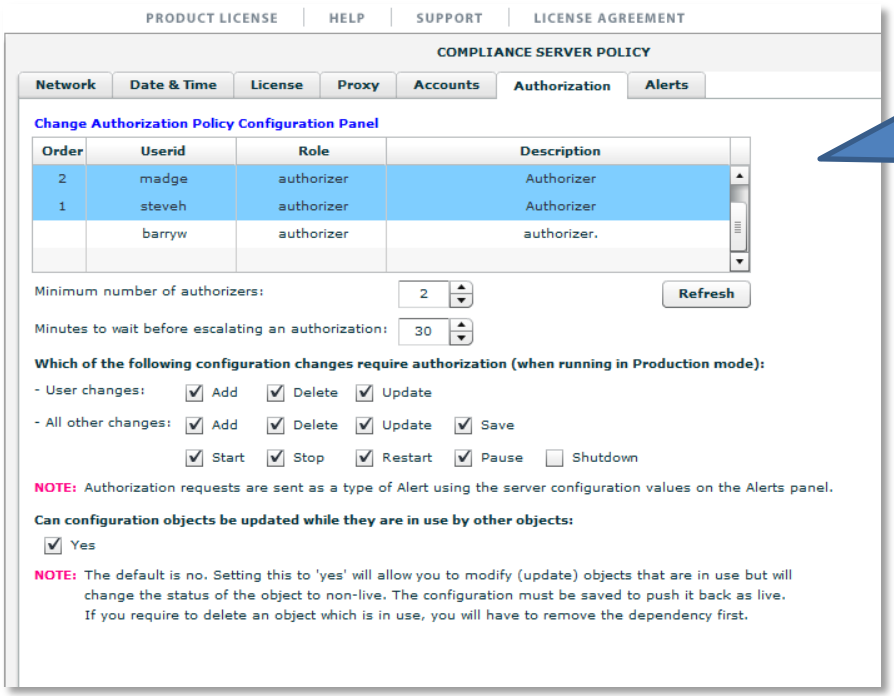
Automatic fraud detection across alerted data



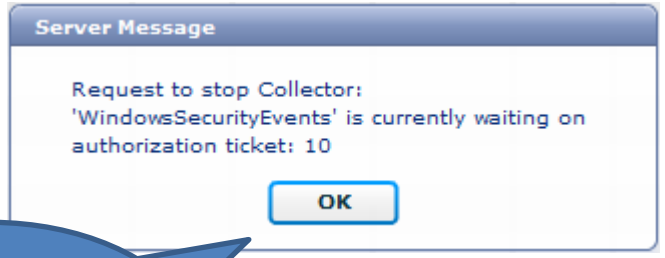
Alerts require response from Authorizer or Analyst users

Suspicious events highlighted in red

Configuration update control

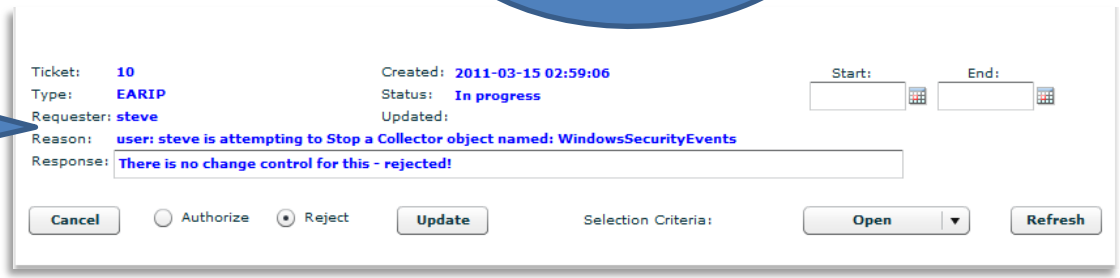


Advanced authorisation control over any configuration change



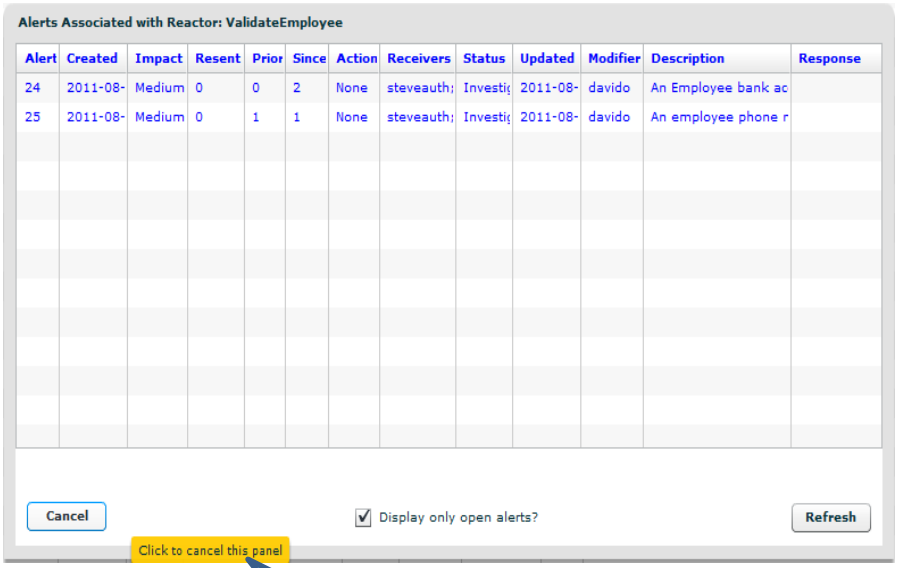
Changes can require authorisation if configured

You can authorise or reject any change before they go live



Simple and intuitive to use

Simple and clear reporting with easy to understand alerting in real-time.



Every field has optional mouse help tips

Compliance Automation Server

Expose fraud in live data instantly without adding risk

Installs in minutes, configured in hours, productive in days

Aptelisense.com

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